

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:  
Carl WOODS

Application No: Unassigned

Filed: April 1, 2004

For: SUBSTRATE MENISCUS INTERFACE AND  
METHODS FOR OPERATION

Group Art Unit: Unassigned

Examiner: Unassigned

Atty. Docket No: LAM2P474

Date: April 1, 2004

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on April 1, 2004 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EV 381562948 US, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signed: \_\_\_\_\_

  
Sylvia Castillo

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§1.56 AND 1.97(c)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Dear Sir:

The references listed in the attached PTO Form 1449, copies of the JPO references are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Information Disclosure Statement (IDS) is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is being filed within three (3) months of the filing date of the above-referenced application. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-0805 (Order No. LAM2P474).

Respectfully submitted,  
MARTINE & PENILLA, LLP

  
Edmund H. Mizumoto, Esq.  
Reg. No. 46,938

710 Lakeway Drive, Suite 170  
Sunnyvale, CA 94085  
Telephone: (408) 749-6900, ext. 6927  
Facsimile: (408) 749-6901  
Customer Number 25920

<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Attorney Docket No:	U.S.
	LAM2P474	Not Assigned
	Applicant:	
	WOODS et al.	
	Filing Date:	Group:
		Not Assigned

### U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class
	A	US 6,398,975 B1	06/2002	Mertens et al.	216	92
	B	US 6,491,764	12/2002	Mertens et al.	134	36
	C	4,838,289	06/1989	Kottman et al.	134	153
	D	5,271,774	12/1993	Leenaars et al.	134	31
	E	5,705,223	01/1998	Bunkofske	427	240
	F	5,945,351	08/1999	Mathuni	438	706
	G	5,997,653	12/1999	Yamasaka	134	2
	H					

### Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	I	JP02280330	11/1990	JPO	H01L	21/304		
	J	JP02309638	12/1990	JPO	H01L	21/306		
	K	JP11350169	12/1999	JPO	H01L	21/306		
	L							
	M							

### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	N	
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.